



中山大學
SUN YAT-SEN UNIVERSITY

Extension of scalar-tensor theories

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2019-4-28

2019 CCNU-USTC Junior Cosmology Symposium

Why modified gravity

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Phenomenological:

To explain the early and late accelerated expansion of our universe.

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To explain the early and late accelerated expansion of our universe.

Theoretical:

To understand why GR is unique.

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To explain the early and late accelerated expansion of our universe.

Theoretical:

To understand why GR is unique.

“The best way to understand something is to modify it.”

How to modify gravity

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Einstein equation is quite unique.

$$\alpha G_{\mu\nu} + \lambda g_{\mu\nu} = 0$$

[Lovelock, 1971]

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$$\alpha G_{\mu\nu} + \lambda g_{\mu\nu} = 0 \quad [Lovelock, 1971]$$

Any metric theory of gravity alternative to GR must satisfy (at least):

- extra degrees of freedom,
- extra dimensions (e.g., brane world),
- higher derivative terms (e.g., $f(R)$),
- extension of Riemannian geometry (e.g., $f(T)$),
- giving up locality.

How to modify gravity

Einstein equation is quite unique.

$$\alpha G_{\mu\nu} + \lambda g_{\mu\nu} = 0$$

[Lovelock, 1971]

Any metric theory of gravity alternative to GR must satisfy (at least):

- extra degrees of freedom (with exotic couplings with gravity),
- extra dimensions (e.g., brane world),
- higher derivative terms (e.g., $f(R)$),
- extension of Riemannian geometry (e.g., $f(T)$),
- giving up locality.

Covariant scalar-tensor theories

From k -essence to Horndeski and beyond

1915 • GR

$$\mathcal{L} = \frac{1}{16\pi G} R$$

From k -essence to Horndeski and beyond

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GR

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[Brans & Dicke, 1961]

$$\mathcal{L} = \phi R - \frac{\omega}{\phi} (\partial\phi)^2$$

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k -essence

[Chiba, Okabe, Yamaguchi, 1999]

[Armendariz-Picon, Damour, Mukhanov, 1999]

$$\mathcal{L} = g(\phi) R + F(\phi, \partial\phi)$$

From k -essence to Horndeski and beyond



k -essence:

the most general scalar-tensor theory,
the Lagrangian involves up to the **first derivative** of the scalar
field.

From k -essence to Horndeski and beyond

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Beyond k -essence?

Introducing second derivatives?

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Beyond k -essence?

Introducing second derivatives?

→ Ostrogradsky's ghost



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2011

Generalized galileon

[Deffayet, $\mathbf{XG}$, Steer & Zahariade

Phys.Rev. D 84 (2011) 064039]

From k -essence to Horndeski and beyond

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In $D=4$:

$$\begin{aligned} \mathcal{L} = & G_2(X, \phi) + G_3(X, \phi) \square\phi \\ & + G_4(X, \phi) R + \frac{\partial G_4}{\partial X} \left[(\square\phi)^2 - (\nabla_\mu \nabla_\nu \phi)^2 \right] \\ & + G_5(X, \phi) G^{\mu\nu} \nabla_\mu \nabla_\nu \phi \\ & - \frac{1}{6} \frac{\partial G_5}{\partial X} \left[(\square\phi)^3 - 3\square\phi (\nabla_\mu \nabla_\nu \phi)^2 + 2 (\nabla_\mu \nabla_\nu \phi)^3 \right] \end{aligned}$$

with $X = -\frac{1}{2}(\partial\phi)^2$

From k -essence to Horndeski and beyond

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Generalized galileon/Horndeski theory: the most general scalar-tensor theory,

- Lagrangian/EoMs involve up to the **second** derivatives,
- Propagates **1 scalar + 2 tensor dofs**.

$(\nabla_\nu \phi)^3]$

From k -essence to Horndeski

From k -essence to generalised Galileons

C. Deffayet (APC, Paris & Paris U., VI-VII), Xian Gao (APC, Paris & Paris U., VI-VII & Ecole Normale Supérieure & Paris, Inst. Astrophys.), D.A. Steer, G. Zahariade (APC, Paris & Paris U., VI-VII). Mar 2011. 25 pp.

Published in **Phys.Rev. D84 (2011) 064039**

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e-Print: [arXiv:1103.3260](https://arxiv.org/abs/1103.3260) [hep-th] | [PDF](#)

[References](#) | [BibTeX](#) | [LaTeX\(US\)](#) | [LaTeX\(EU\)](#) | [Harvmac](#) | [EndNote](#)
[ADS Abstract Service](#)

[レコードの詳細](#) - [Cited by 630 records](#) 500+

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International Journal of Theoretical Physics, Vol. 10, No. 6 (1974), pp. 363–384

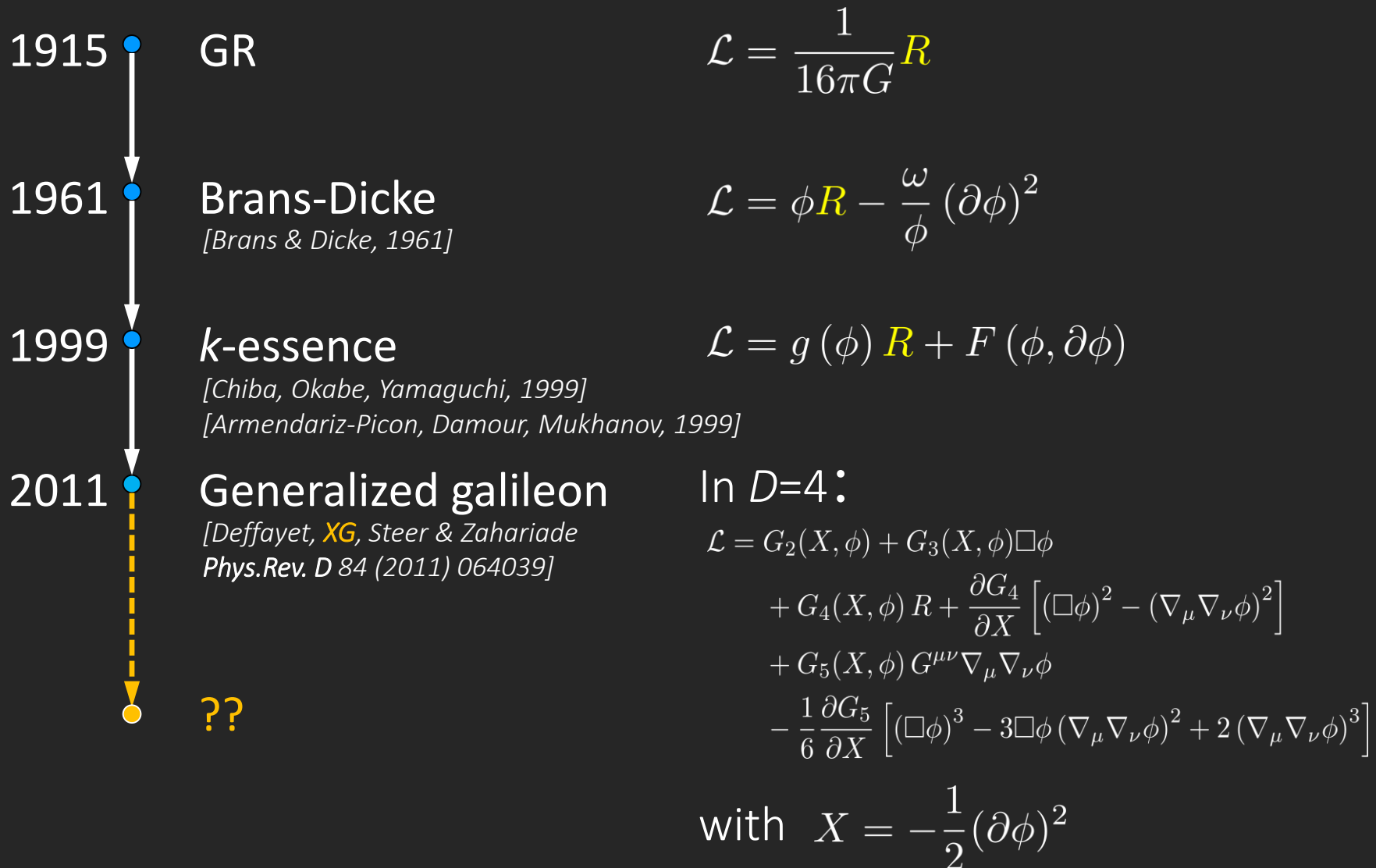
Second-Order Scalar-Tensor Field Equations in a Four-Dimensional Space

GREGORY WALTER HORNDESKI

*Department of Applied Mathematics, University of Waterloo, Waterloo, Ontario,
Canada*

Received: 10 July 1973

From k -essence to Horndeski and beyond



From k -essence to Horndeski and beyond

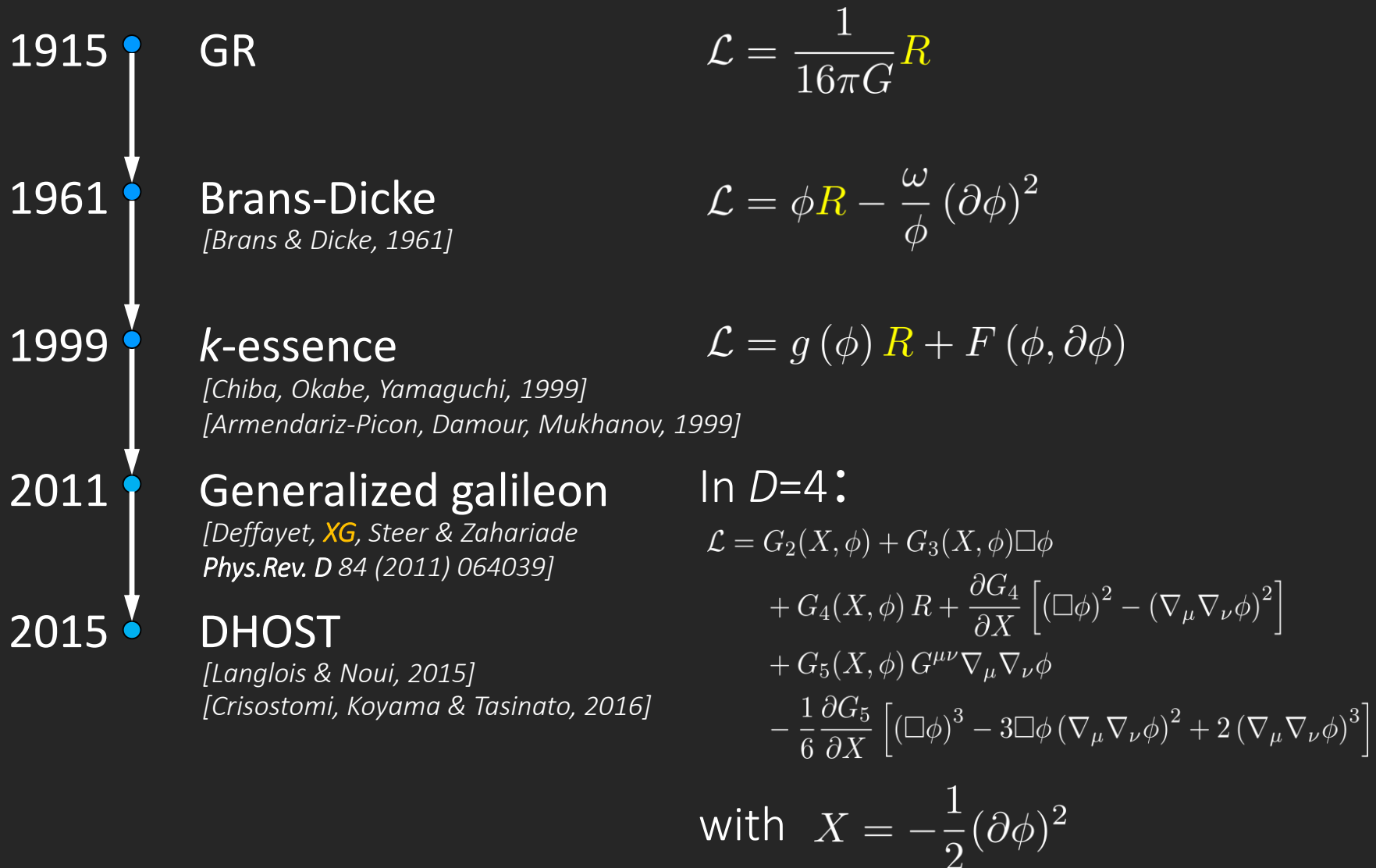
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Beyond galileon/Horndeski theory?

- Higher derivatives of the scalar field and the metric.
- Propagates **1 scalar + 2 tensor** dofs.

$\nabla_\nu \phi)^3]$

From k -essence to Horndeski and beyond



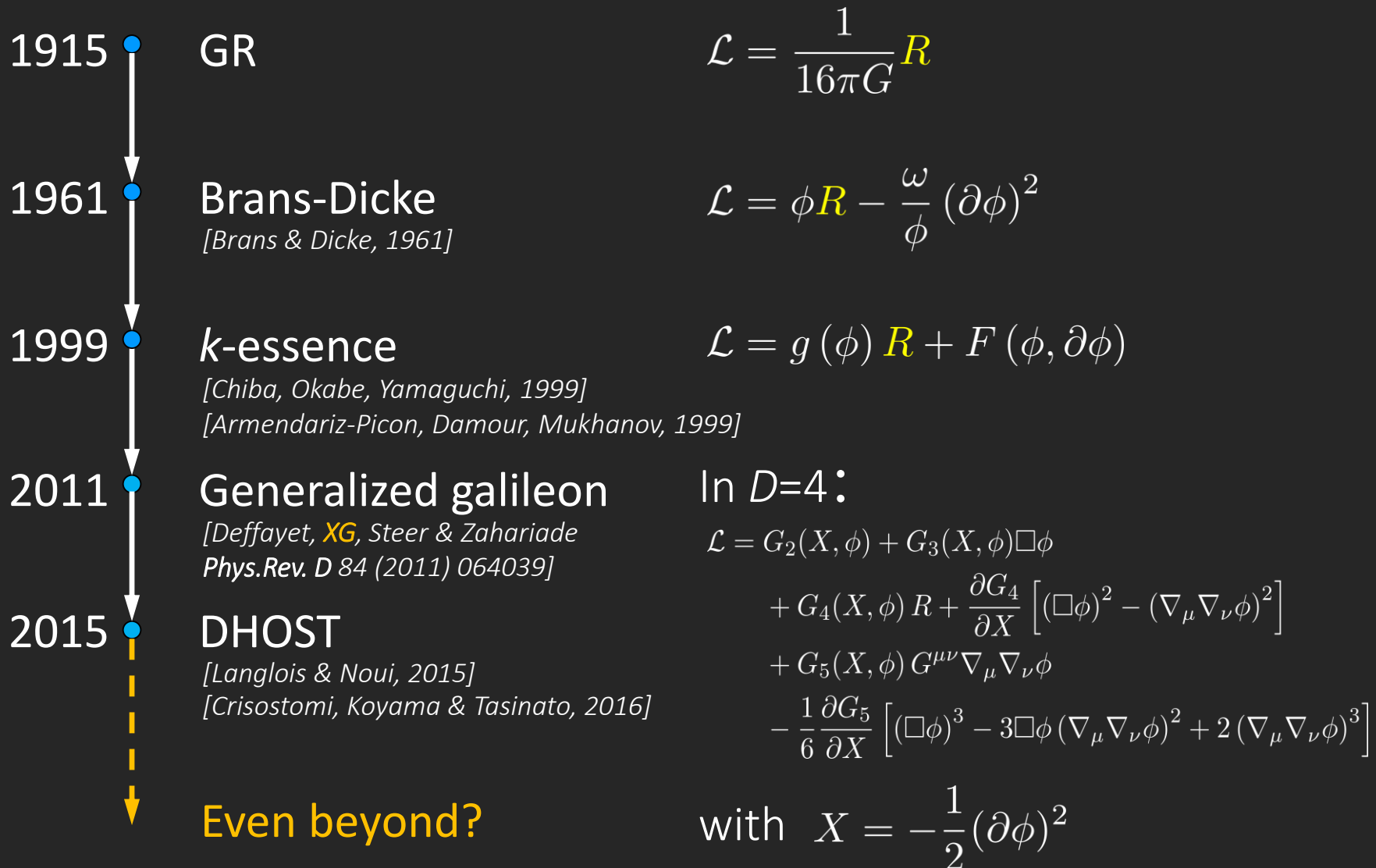
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2015	DHOST <i>[Langlois & Noui, 2015]</i> <i>[Crisostomi, Koyama & Tasinato, 2016]</i>	

DHOST (degenerate higher order scalar-tensor) theory:

- Higher derivatives in EoMs (2nd derivatives in the action),
- Propagates 1 scalar + 2 tensor dofs.

From k -essence to Horndeski and beyond



From k -essence to Horndeski and beyond

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2015	DHOST <i>[Lamprou & Noui, 2015]</i>	$+ G_5(X, \phi) G^{\mu\nu} \nabla_\mu \nabla_\nu \phi$ $+ G_6(X, \phi) (\nabla_\mu \nabla_\nu \phi)^3$

We may need some alternative approach.

Spatially covariant gravity

Spatially covariant gravity

“不忘初心，方得始终。”

“Never forget why you started,
and your mission can be accomplished.”

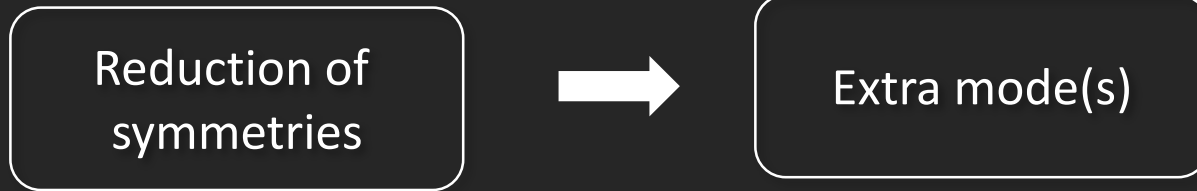
「初心忘るべからず、終始心得るべし。」

Spatially covariant gravity

初心 (beginner's mind) = a scalar degree of freedom

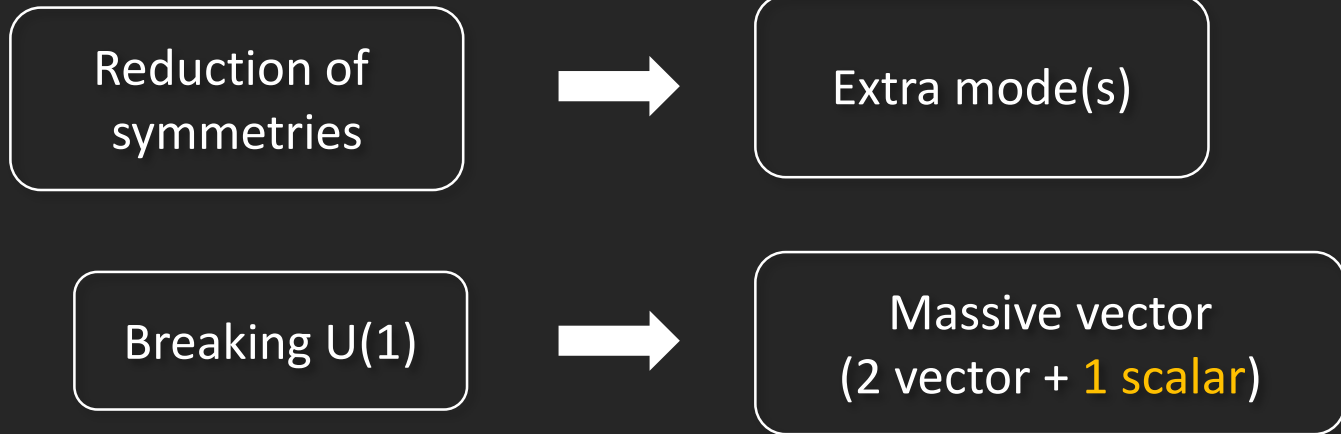
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初心 (beginner's mind) = a scalar degree of freedom

Reduction of
symmetries



Extra mode(s)

Breaking $U(1)$



Massive vector
(2 vector + 1 scalar)

Breaking whole spacetime diff



Massive gravity
(2 tensor + 2 vector + 1 scalar)

Spatially covariant gravity

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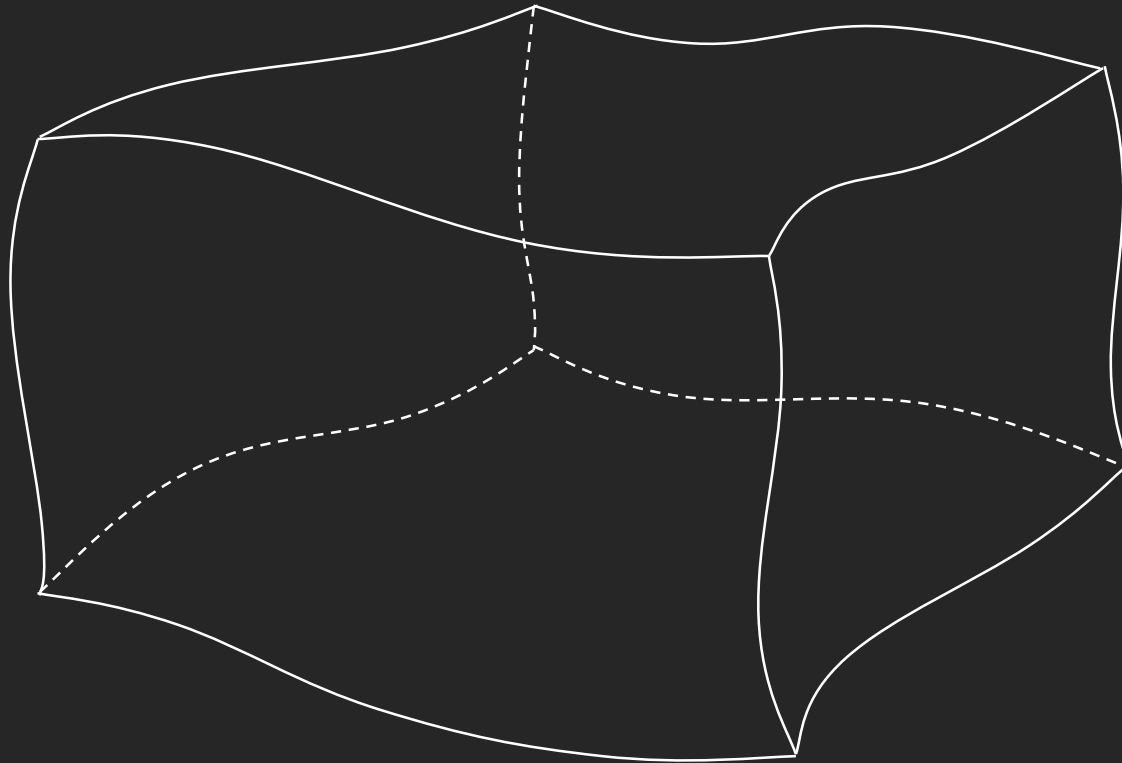
Massive gravity
(2 tensor + 2 vector + 1 scalar)

Breaking time diff, respecting
only spatial symmetries

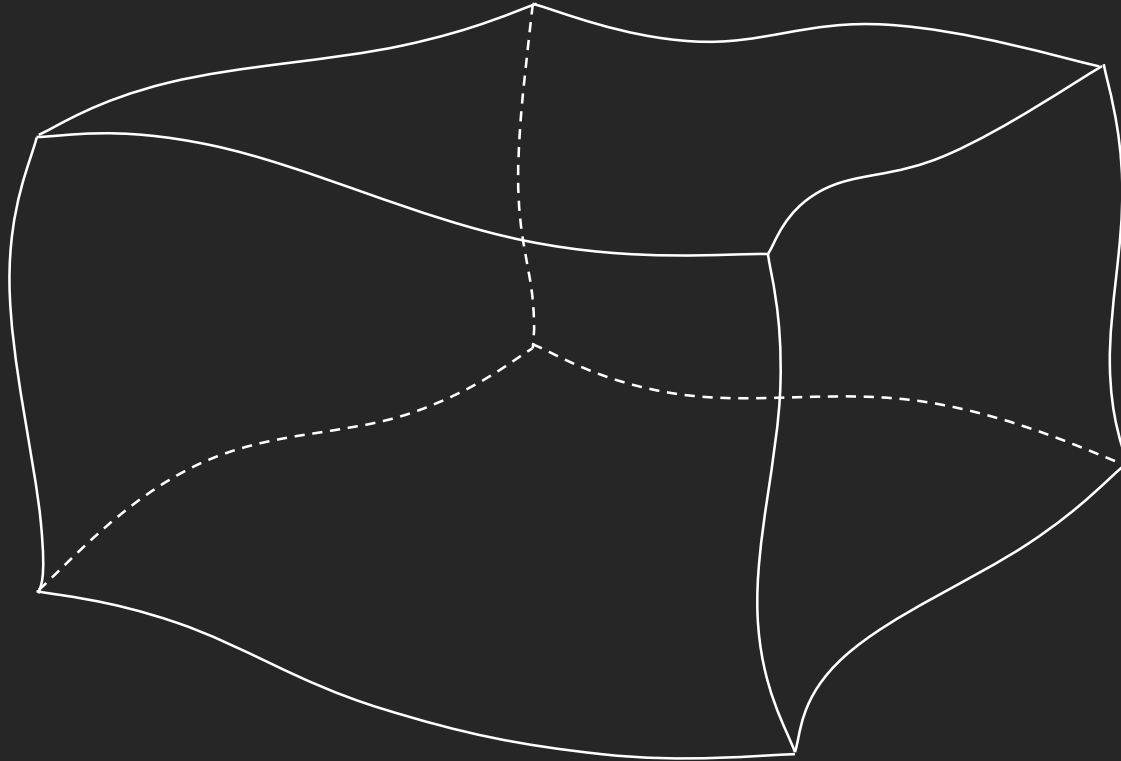


2 tensor + 1 scalar

Foliation of spacetime



Foliation of spacetime

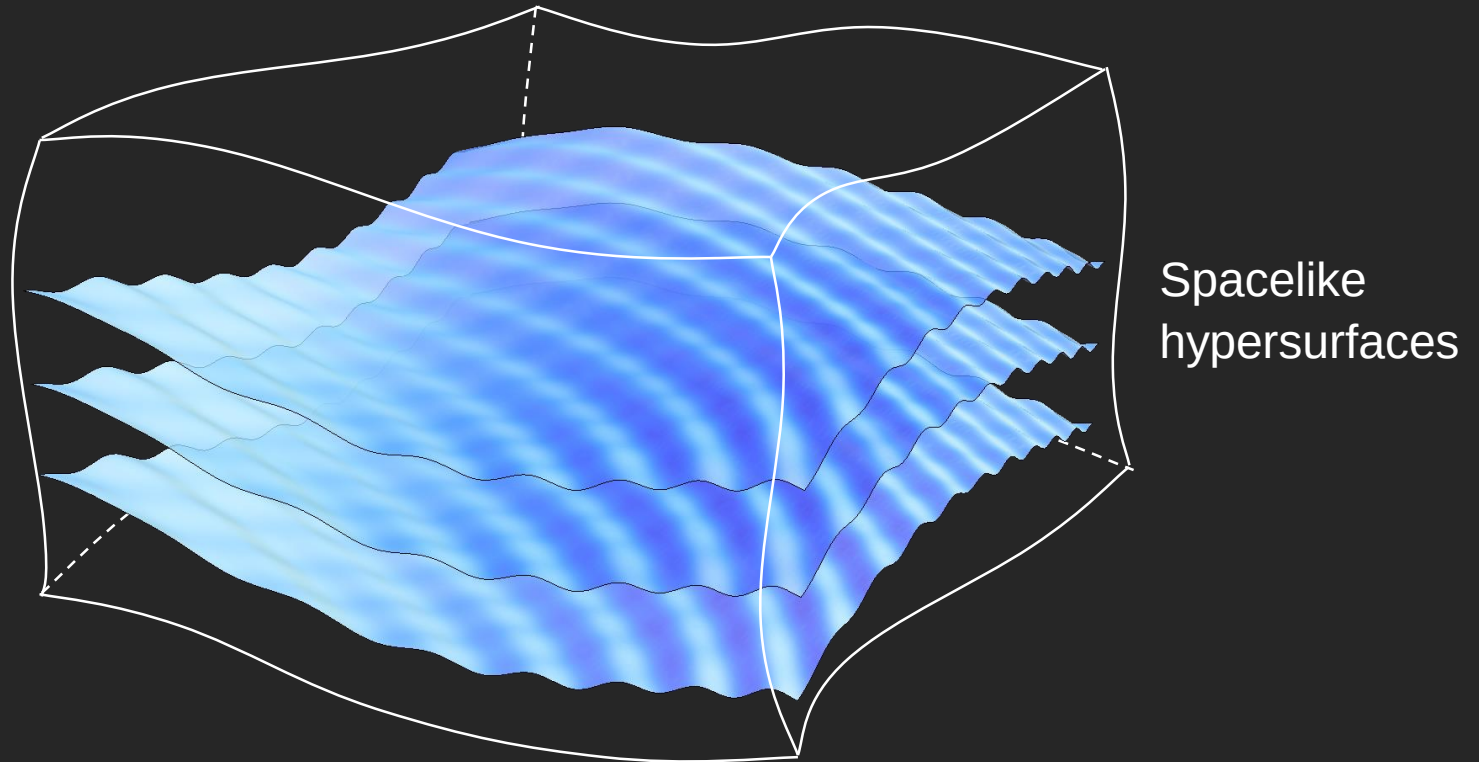


Spacetime covariant

4-D quantities

$$\phi, g_{\mu\nu}, R_{\mu\nu\rho\sigma}, \nabla_{\mu}$$

Foliation of spacetime

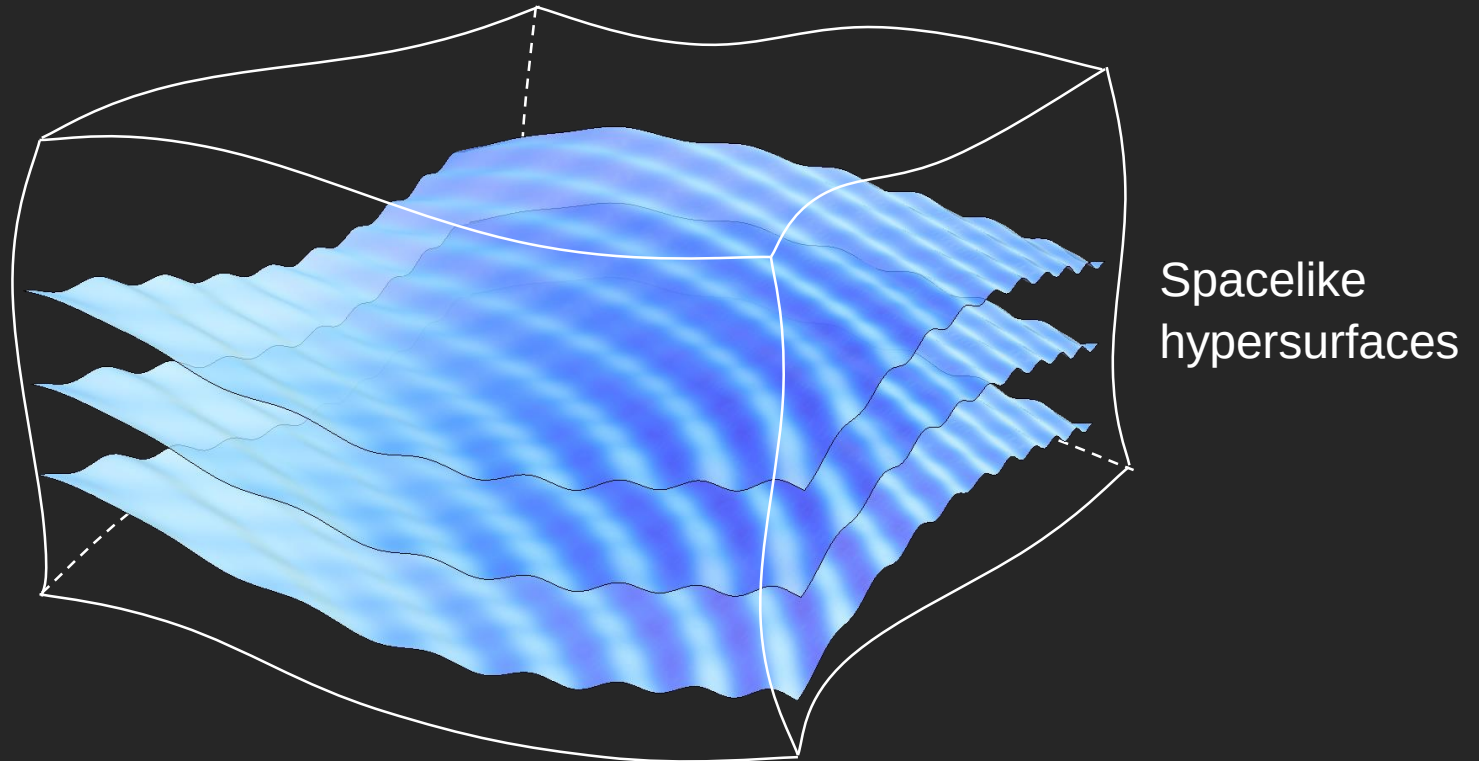


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Foliation of spacetime



Spacetime covariant

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Spatially covariant

3-D quantities

$$t, N, h_{ij}, R_{ij}, \nabla_i, K_{ij}$$

Early examples

2004 ●

Ghost condensation

[Arkani-Hamed, Cheng, Luty & Mukohyama]



2007 ●

Effective field theory of inflation

[Cheung, Creminelli, Fitzpatrick, Kaplan & Senatore]

Early examples

2004 • Ghost condensation

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[Horava]

- The Lagrangians are built of **spatial** invariants;
- The theories propagates **one scalar mode** (besides the two tensor modes).

Two faces of scalar-tensor theories

Spacetime covariant
Scalar-tensor theories

$$\mathcal{L}(\phi, g_{\mu\nu}, R_{\mu\nu\rho\sigma}, \nabla_\mu)$$

Two faces of scalar-tensor theories

Spacetime covariant
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Spatially covariant
gravity theories

$$\mathcal{L}(t, N, h_{ij}, R_{ij}, K_{ij}, \nabla_i)$$

Two faces of scalar-tensor theories

Gauge fixing: $\phi(t, \vec{x}) \rightarrow t$
(unitary gauge)

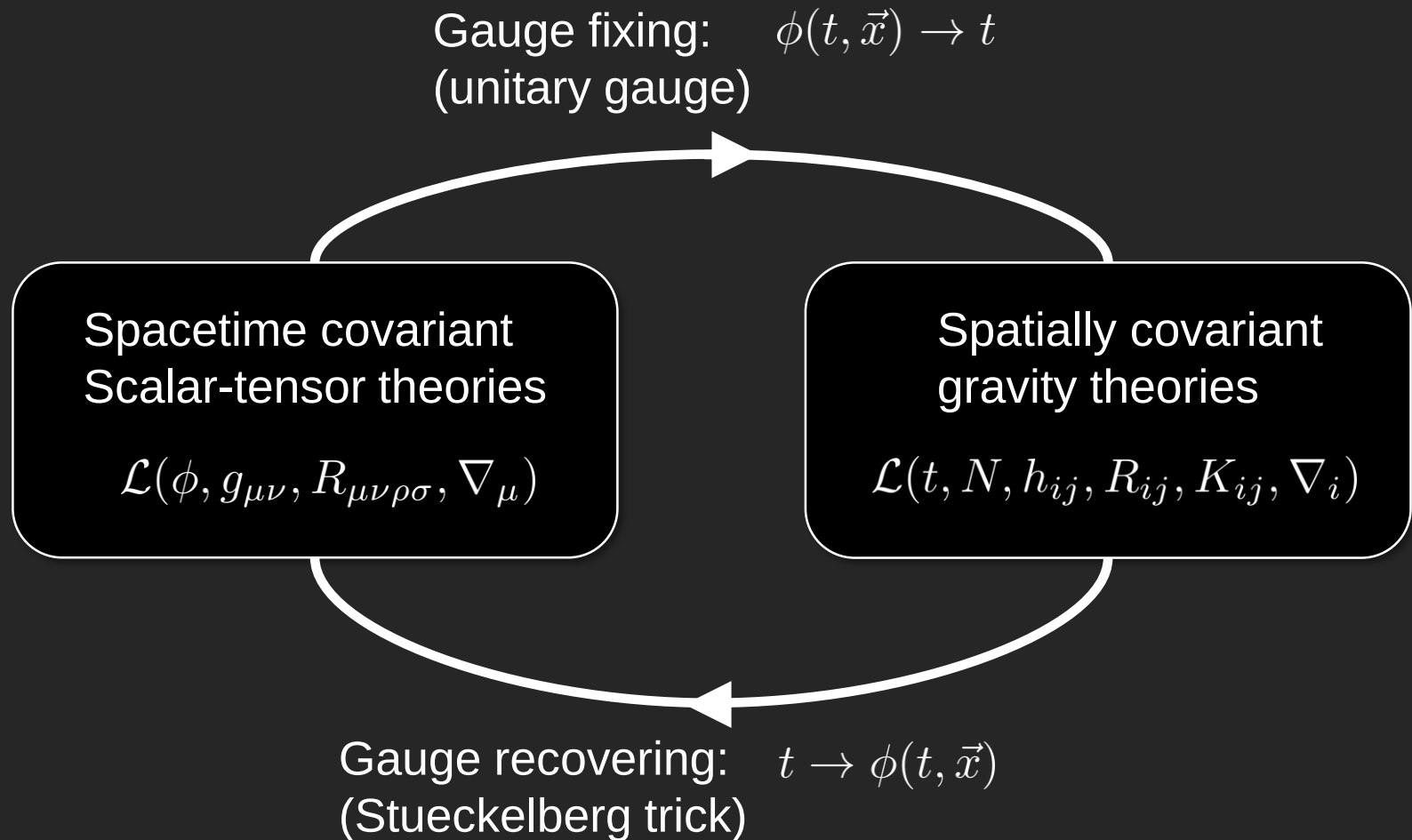
Spacetime covariant
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Two faces of scalar-tensor theories



[H. Motohashi, T. Suyama, K. Takahashi, 2016]

[A. De Felice, D. Langlois, S. Mukohyama, K. Noui & A. Wang, 2018]

Beyond Horndeski

2004 •

Ghost condensation

[Arkani-Hamed, Cheng, Luty & Mukohyama]



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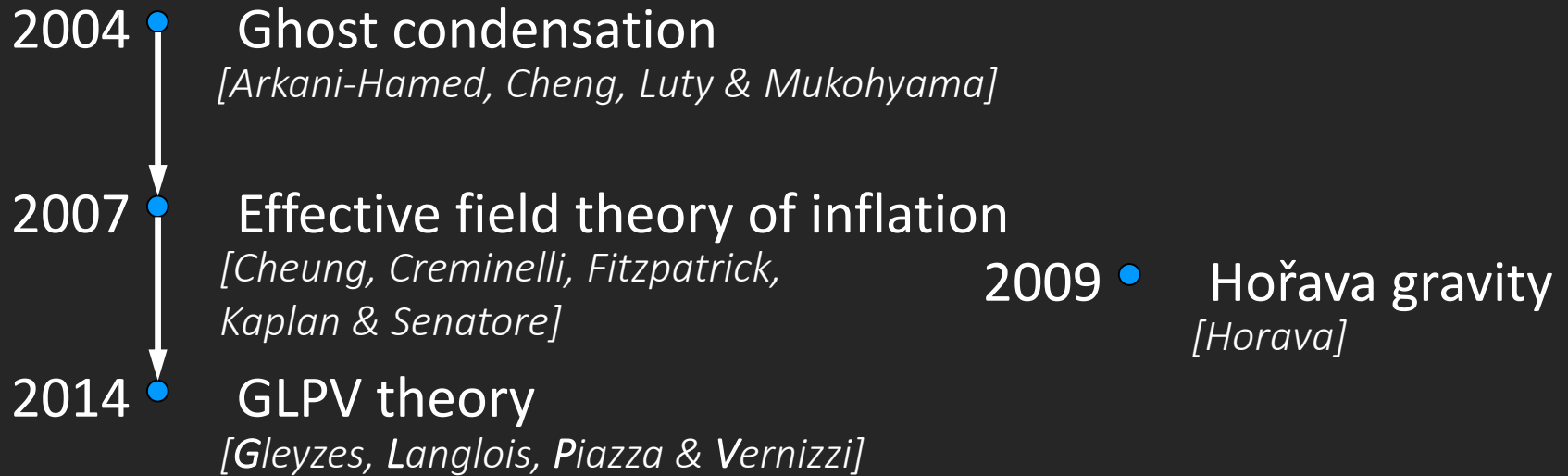
*[Cheung, Creminelli, Fitzpatrick,
Kaplan & Senatore]*

2009 •

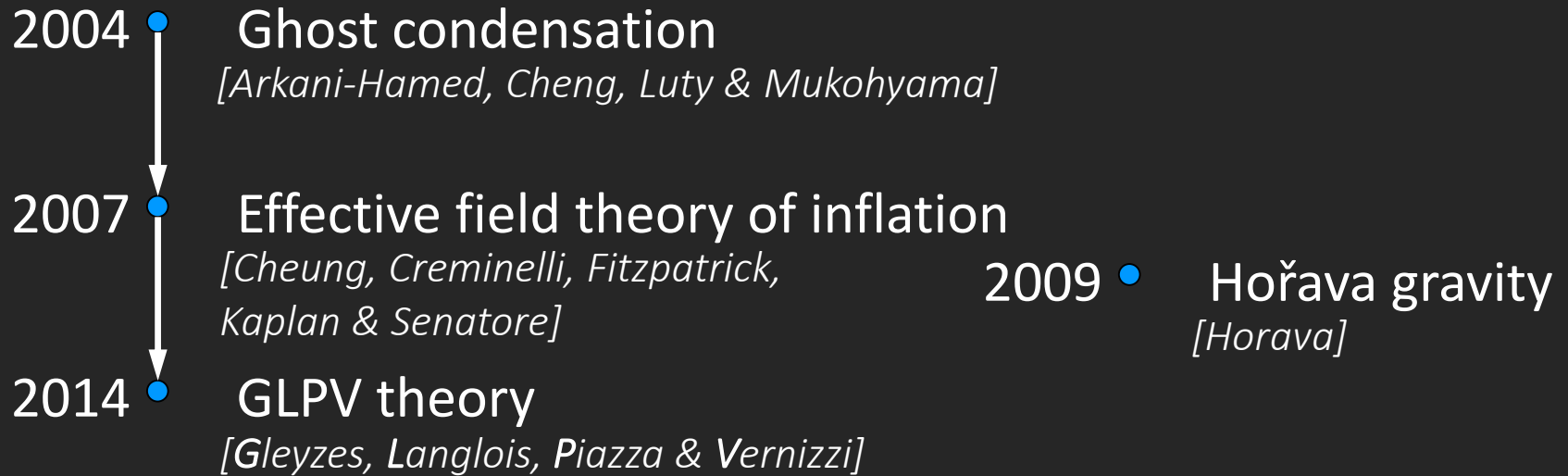
Hořava gravity

[Horava]

Beyond Horndeski



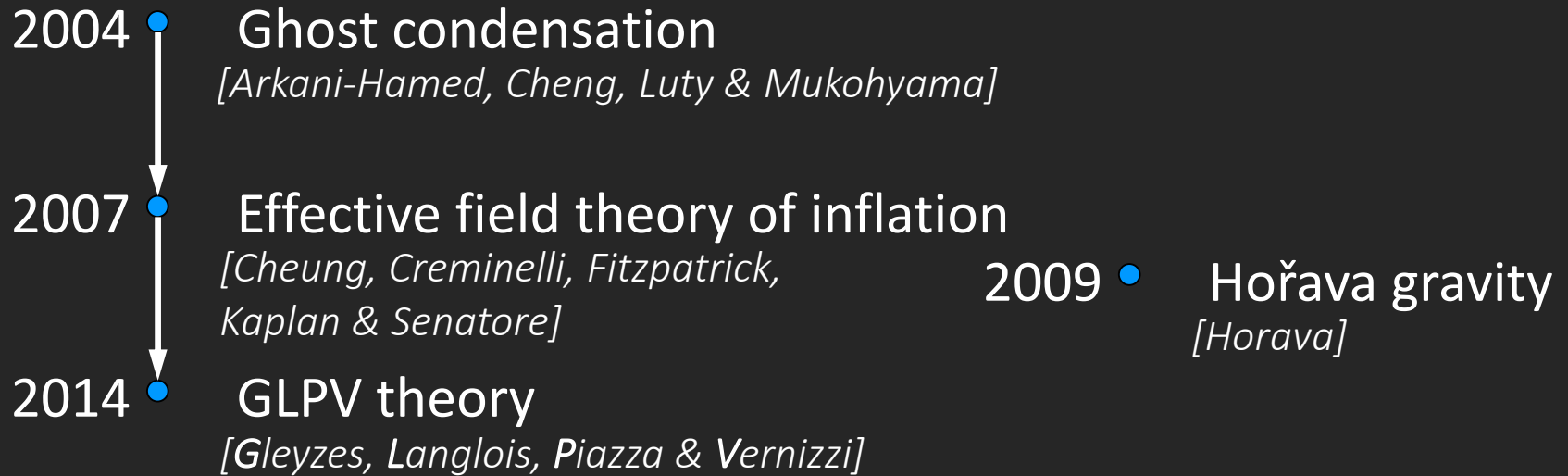
Beyond Horndeski



Spacetime covariant

Horndeski

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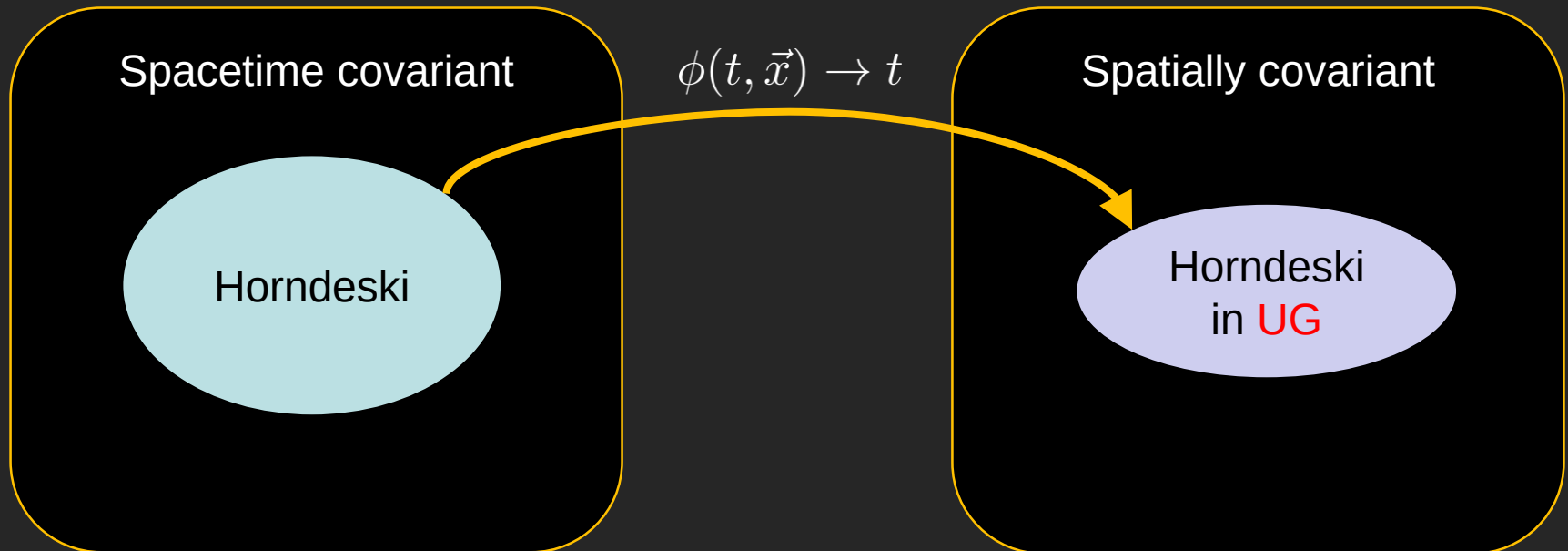
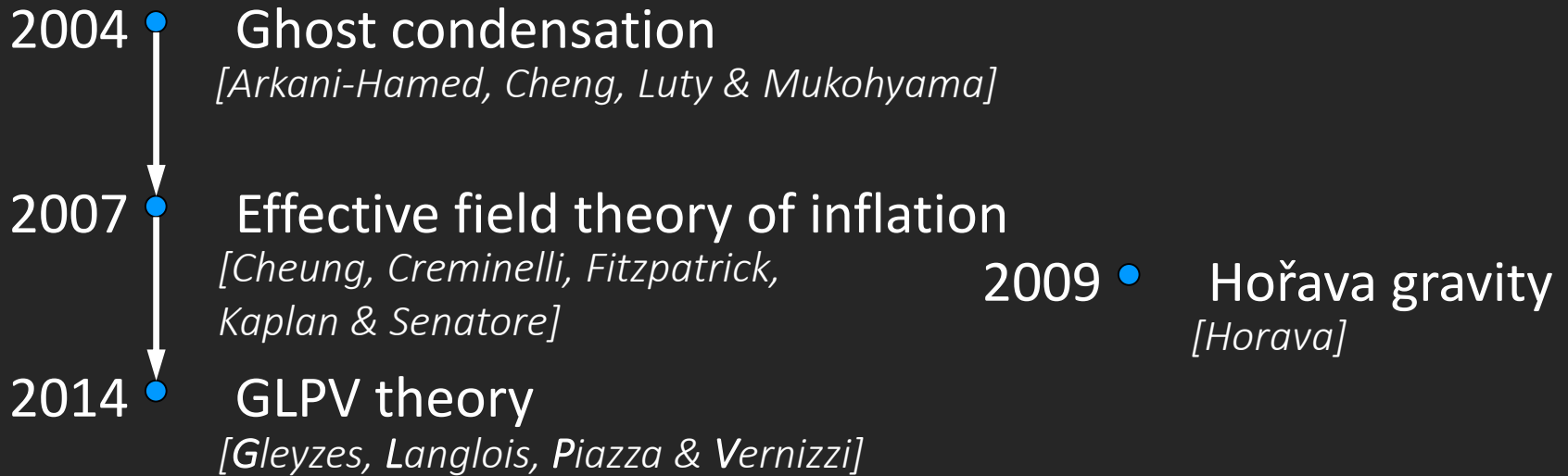


Spacetime covariant

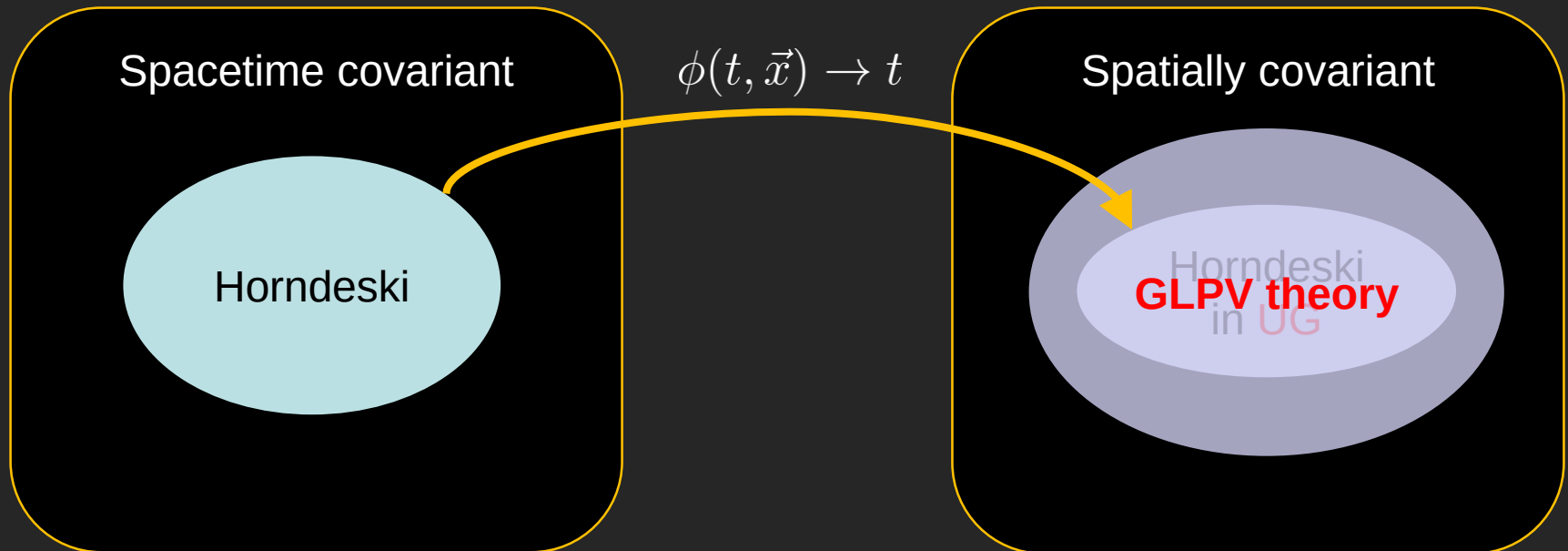
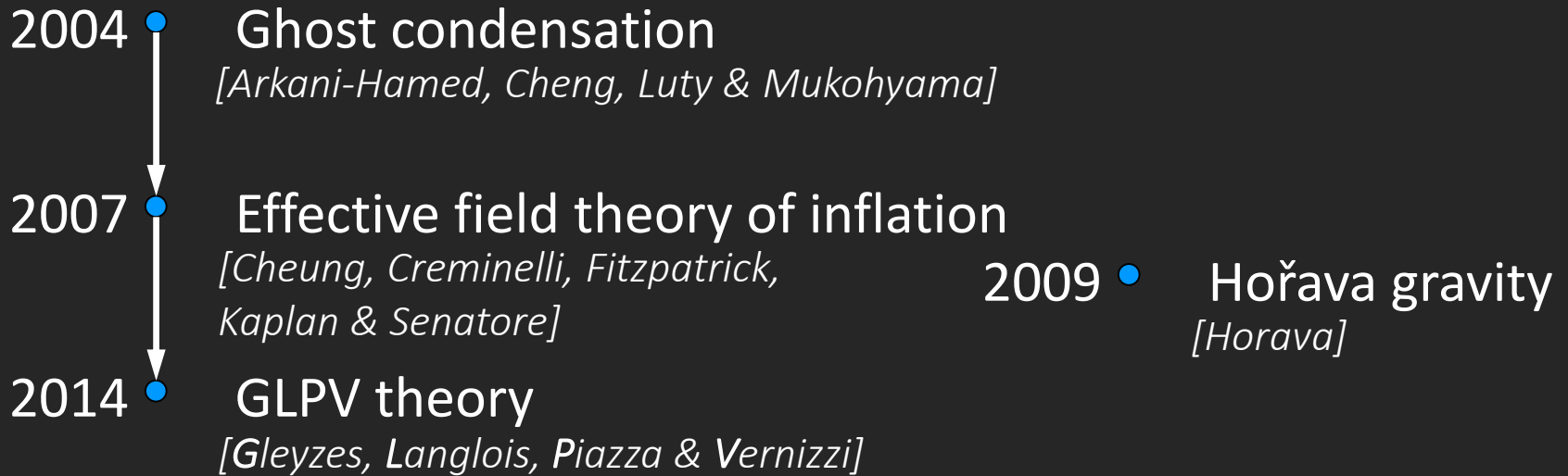
Horndeski

Spatially covariant

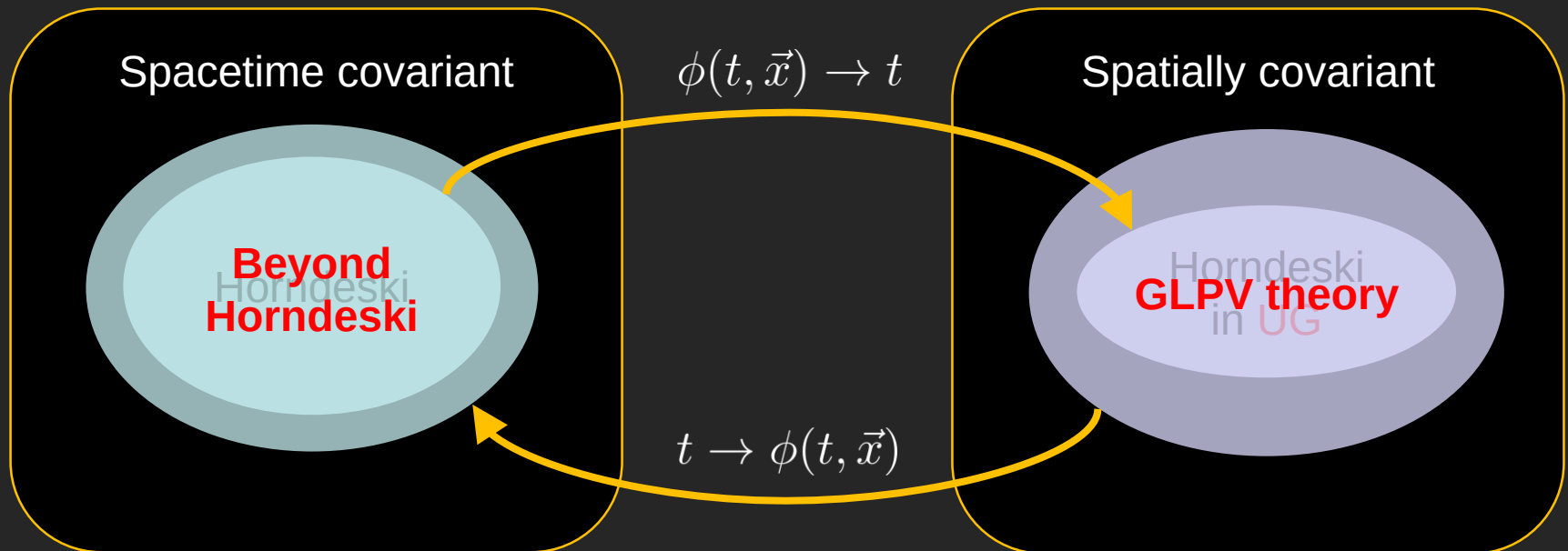
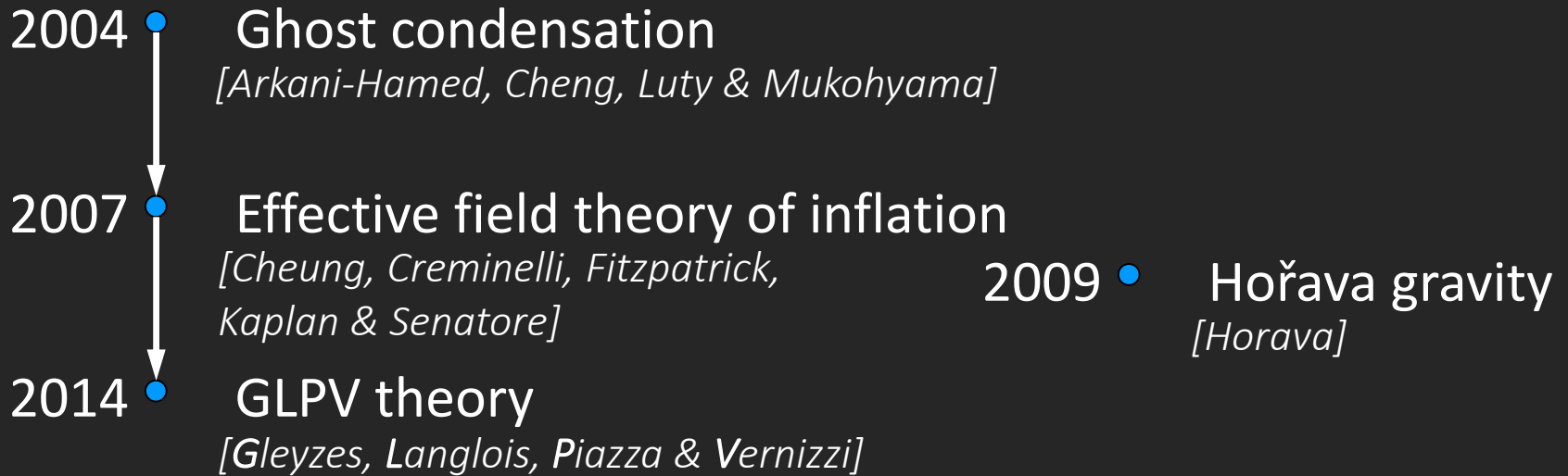
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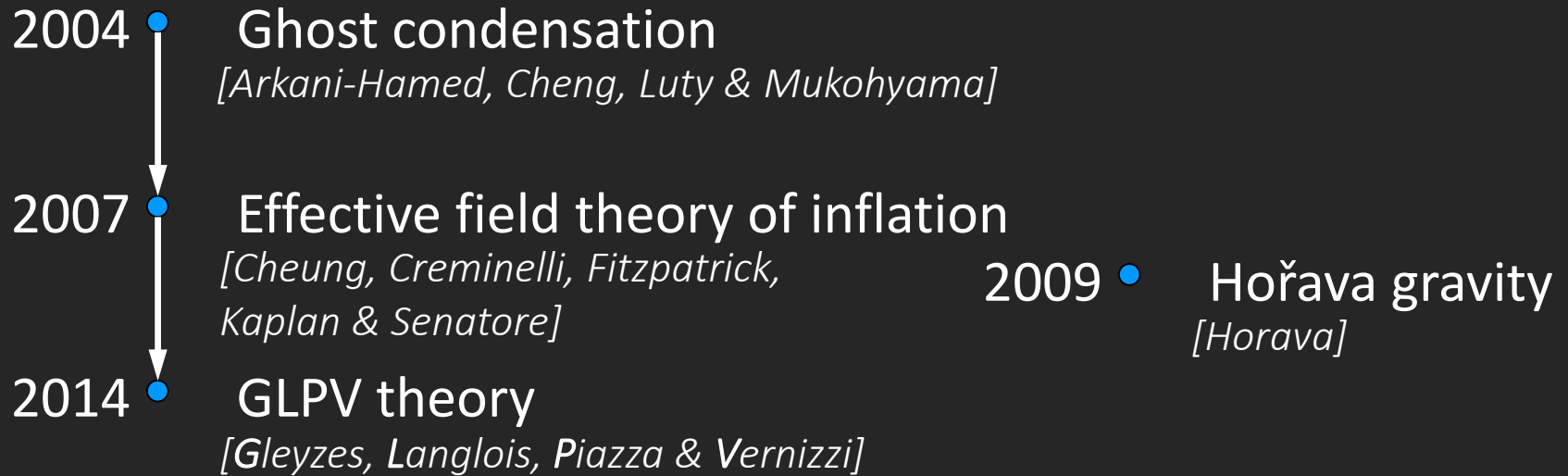
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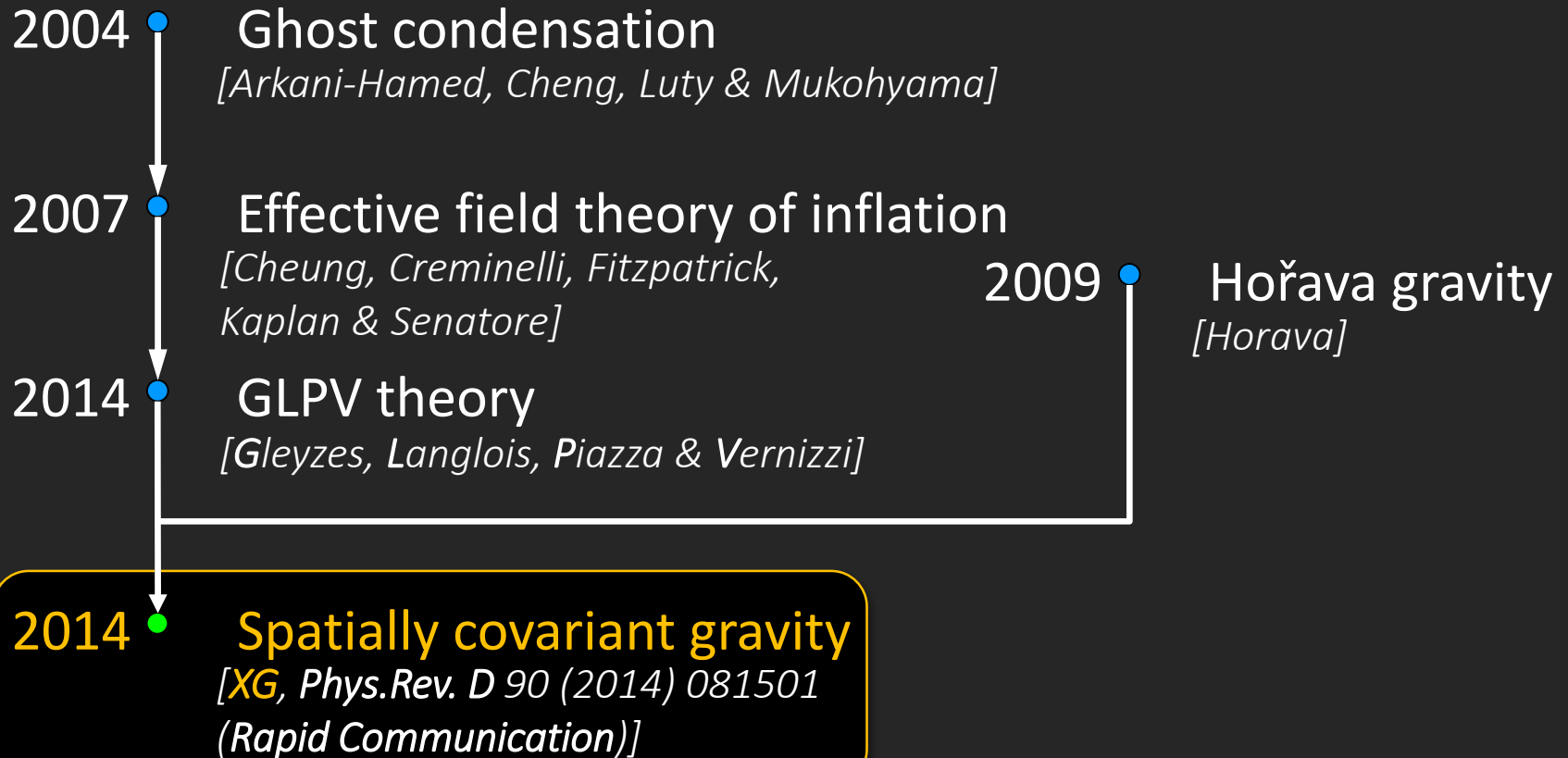
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Spatially covariant gravity

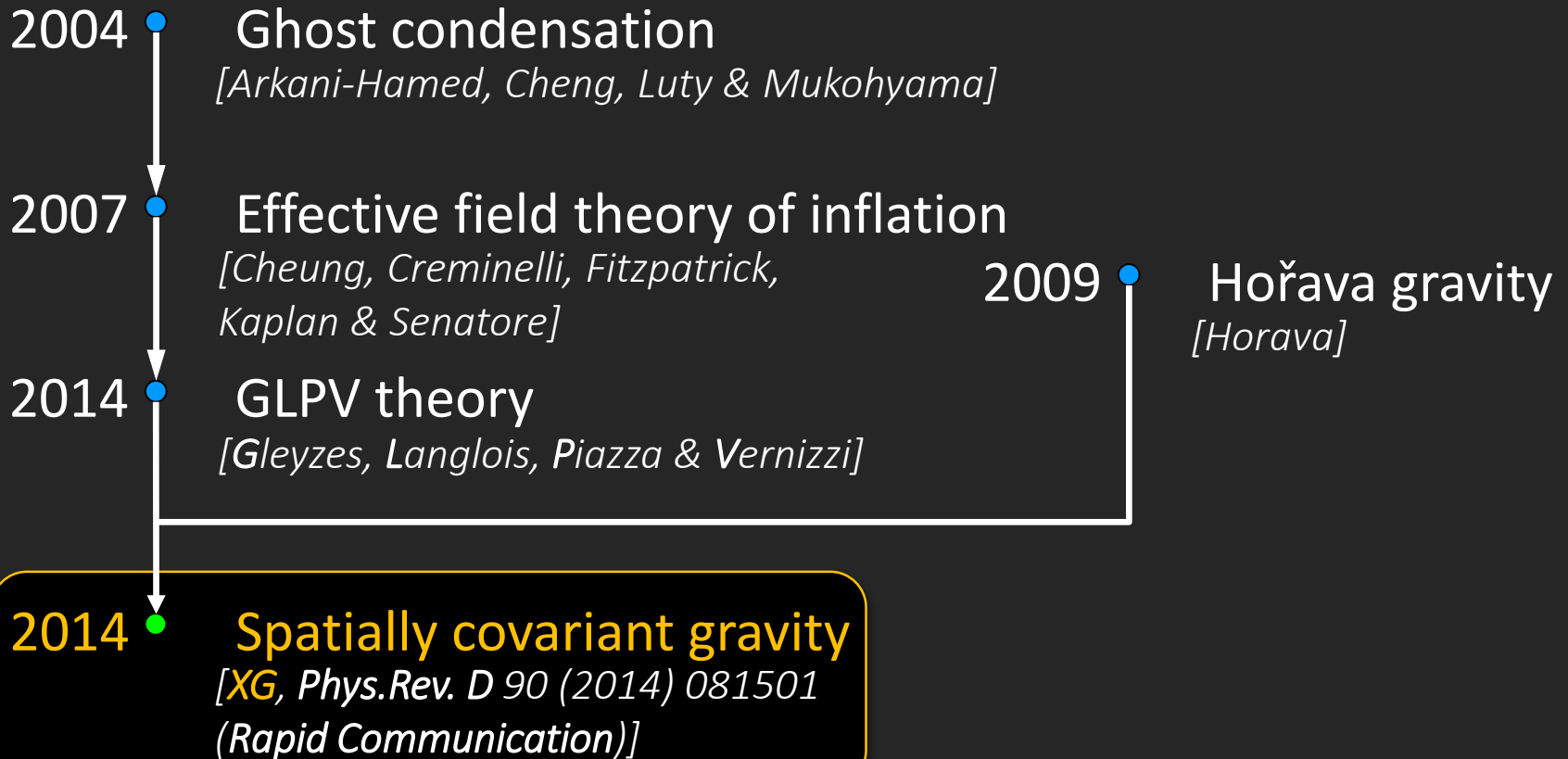


Spatially covariant gravity



$$S = \int dt d^3x N \sqrt{h} \mathcal{L}(t, N, h_{ij}, R_{ij}, K_{ij}, \nabla_i)$$

Spatially covariant gravity



$$S = \int dt d^3x N \sqrt{h} \mathcal{L}(t, N, h_{ij}, R_{ij}, K_{ij}, \nabla_i)$$

2 tensor + 1 scalar DoFs with higher derivative EoMs.
[XG, Phys.Rev. D90 (2014) 104033]

With Velocity of the lapse function

Geometric motivation

The basic picture:

4d spacetime

+

foliation of spacelike hypersurfaces

Geometric motivation

The basic picture:

4d spacetime

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foliation of spacelike hypersurfaces

Basic geometric quantities:

$$\left\{ \begin{array}{l} \text{timelike normal vector field: } n_\mu = -N \nabla_\mu \phi \\ \text{Induced metric: } h_{\mu\nu} \end{array} \right.$$

Geometric motivation

The basic picture:

4d spacetime

+

foliation of spacelike hypersurfaces

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Basic building blocks:

$$\phi, N, h_{\mu\nu} \quad \text{with derivatives in terms of} \quad \left\{ \begin{array}{ll} \mathcal{L}_n & \text{time der.} \\ D_\mu & \text{space der.} \end{array} \right.$$

Geometric motivation

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4d spacetime

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Also, field transformations typically introduces $\dot{N}$.

[G. Domènech, S. Mukohyama, R. Namba, A. Naruko, R. Saitou, Y. Watanabe, 2015]

The action

[XG & Zhi-Bang Yao, arXiv:1806.02811]

General action (in the unitary gauge):

$$S = \int dt d^3x N \sqrt{h} \mathcal{L}(t, N, h_{ij}, F, K_{ij}, \nabla_i)$$

with $F = \frac{1}{N} \left(\dot{N} - \mathcal{L}_{\vec{N}} N \right), \quad K_{ij} = \frac{1}{2N} \left(\dot{h}_{ij} - \mathcal{L}_{\vec{N}} h_{ij} \right)$



lapse is dynamical

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lapse is dynamical

Generally, such kind of theories have 2 scalar dof's, one of which is an Ostrogradsky ghost.

The action

[XG & Zhi-Bang Yao, arXiv:1806.02811]

General action (in the unitary gauge):

$$S = \int dt d^3x N \sqrt{h} \mathcal{L}(t, N, h_{ij}, F, K_{ij}, \nabla_i)$$

$$\text{with } F = \frac{1}{N} \left(\dot{N} - \mathcal{L}_{\vec{N}} N \right), \quad K_{ij} = \frac{1}{2N} \left(\dot{h}_{ij} - \mathcal{L}_{\vec{N}} h_{ij} \right)$$



lapse is dynamical

Generally, such kind of theories have 2 scalar dof's, one of which is an Ostrogradsky ghost.

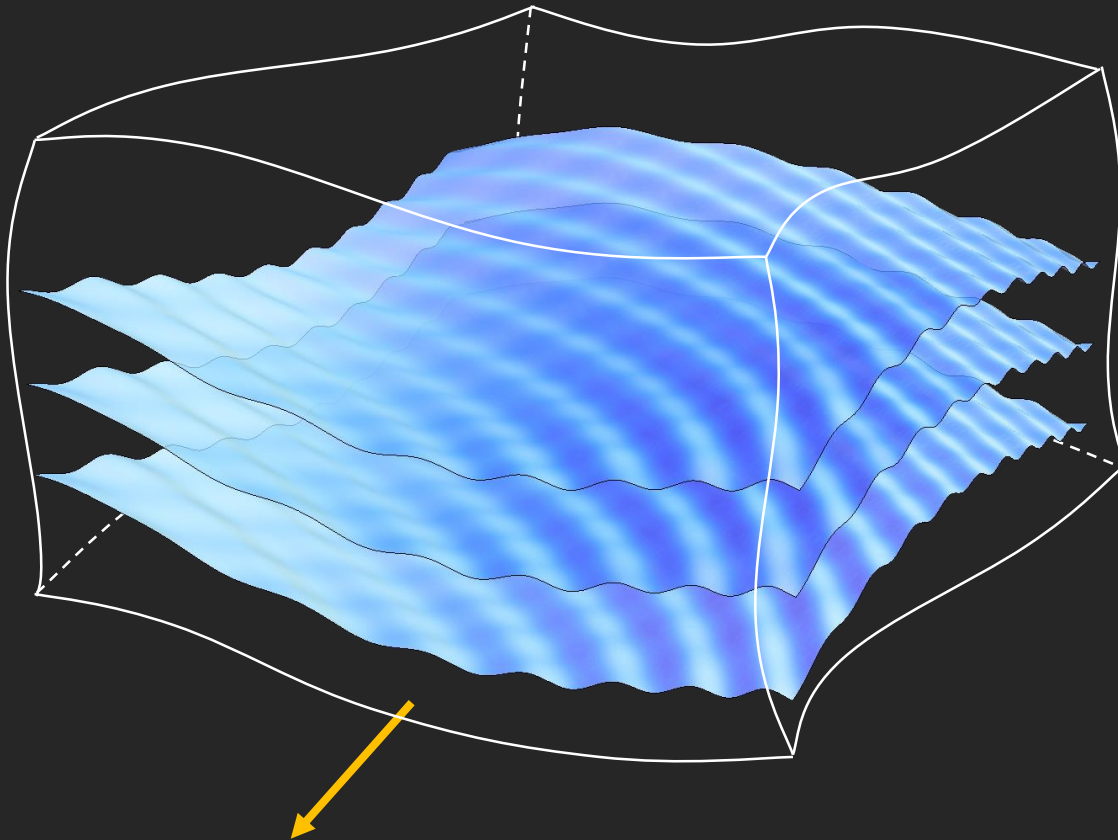
Nevertheless, we found conditions to kill the ghost.

[See Zhi-bang Yao's talk]

With a non-dynamical scalar field

How if spacelike?

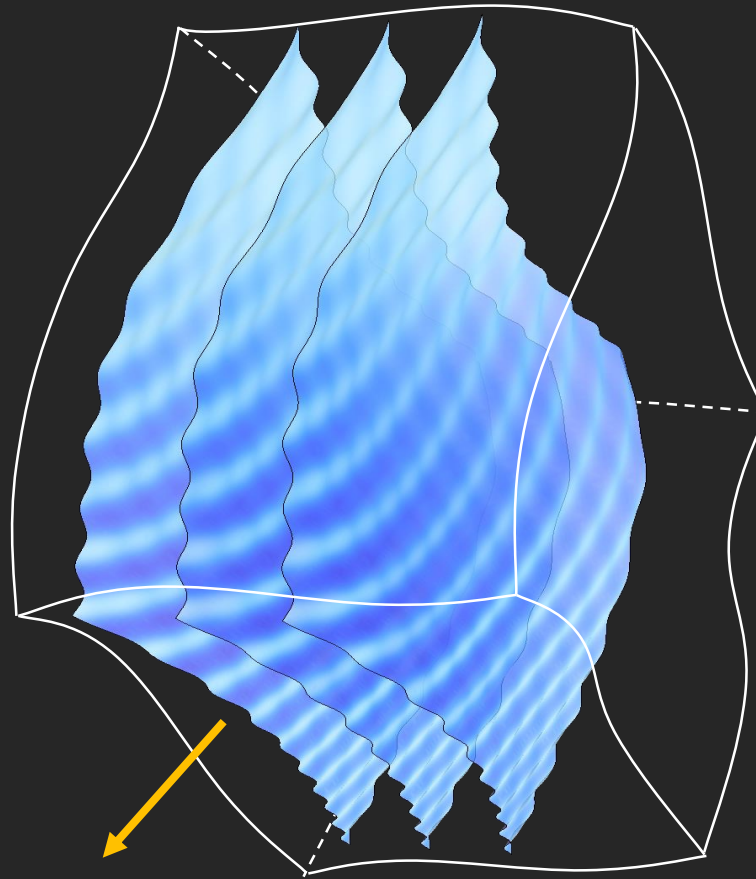
How if the scalar field acquires a spacelike gradient?



$\varphi = \text{const.}$
spacelike hypersurfaces

How if spacelike?

How if the scalar field acquires a spacelike gradient?



$\varphi = \text{const.}$

timelike hypersurfaces

Spatial gauge

timelike

spacelike

$$\nabla_\mu \phi = -n_\mu \mathcal{L}_n \phi + D_\mu \phi$$

Spatial gauge

$$\nabla_\mu \phi = \quad \begin{array}{c} \text{timelike} \\ -n_\mu \mathcal{L}_n \phi \end{array} + \quad \begin{array}{c} \text{spacelike} \\ D_\mu \phi \\ \downarrow \\ 0 \end{array}$$

unitary gauge

Spatial gauge

$$\nabla_\mu \phi = \begin{array}{c} \text{timelike} \\ -n_\mu \mathcal{L}_n \phi \\ \downarrow \\ 0 \end{array} + \text{spacelike} \quad D_\mu \phi$$

spatial gauge

Spatial gauge

$$\nabla_\mu \phi = \begin{array}{c} \text{timelike} \\ -n_\mu \mathcal{L}_n \phi \\ \downarrow \\ 0 \end{array} + \text{spacelike} \quad D_\mu \phi$$

spatial gauge

General action:

[XG, M. Yamaguchi, D. Yoshida,
JCAP 1903 (2019) 006]

$$S^{(\text{s.g.})} = \int dt d^3x N \sqrt{h} \mathcal{L}(h_{ij}, K_{ij}, R_{ij}, \phi, N, D_i)$$

- ϕ is a non-dynamical (auxiliary) field

Spatial gauge

$$\nabla_\mu \phi = \begin{array}{c} \text{timelike} \\ -n_\mu \mathcal{L}_n \phi \\ \downarrow \\ 0 \end{array} + \text{spacelike} \quad D_\mu \phi$$

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General action:

[XG, M. Yamaguchi, D. Yoshida,
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$$S^{(\text{s.g.})} = \int dt d^3x N \sqrt{h} \mathcal{L}(h_{ij}, K_{ij}, R_{ij}, \phi, N, D_i)$$

- ϕ is a non-dynamical (auxiliary) field
- General covariance is broken, which leads to a scalar d.o.f.

Evolution of the theories

Evolution of the theories



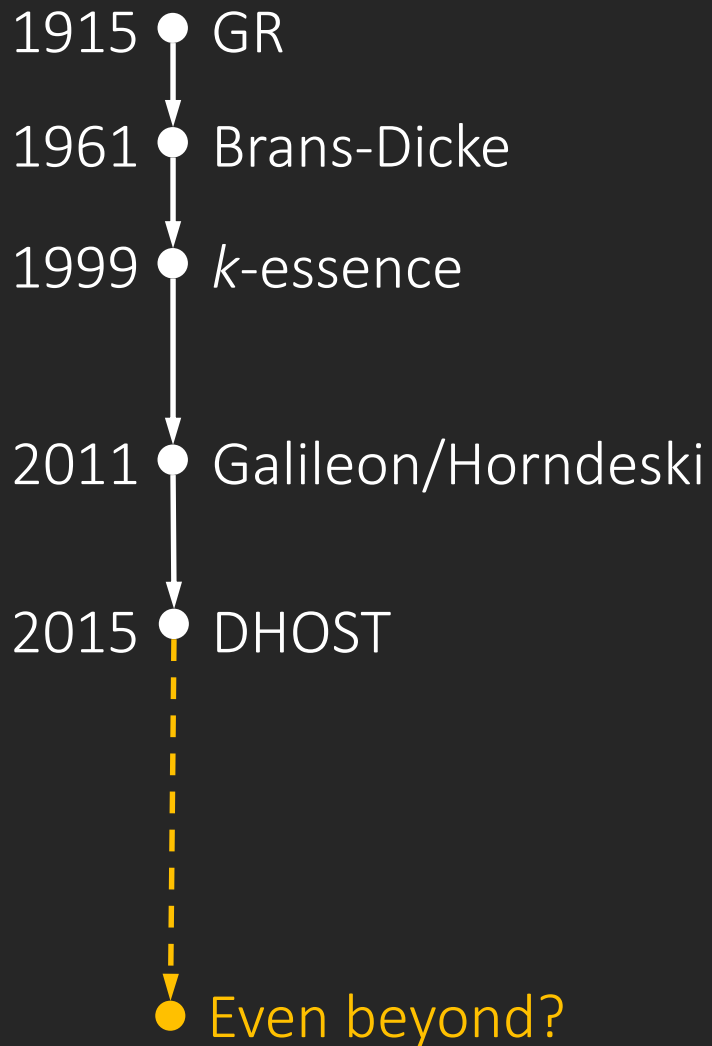
Evolution of the theories



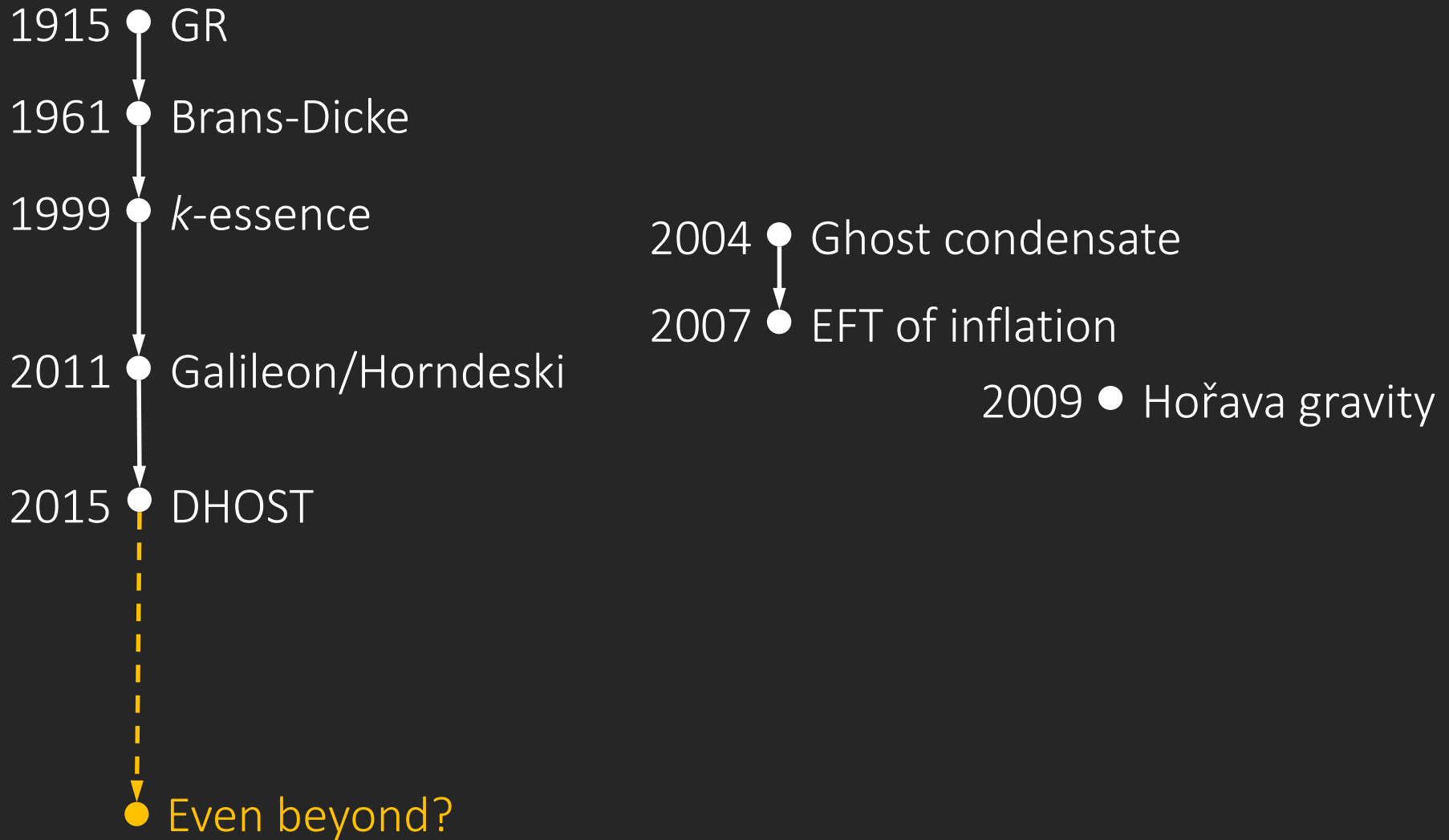
Evolution of the theories



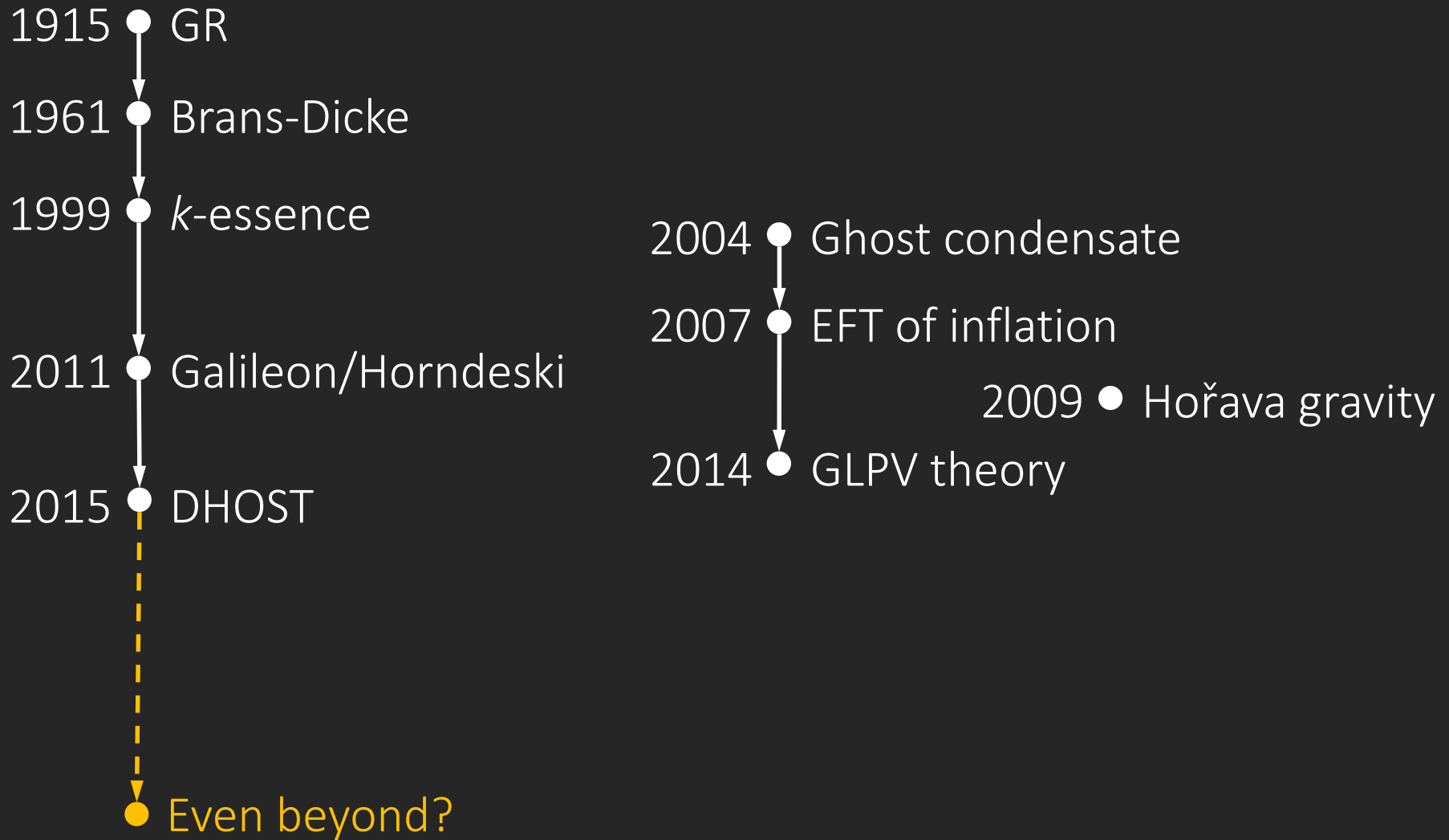
Evolution of the theories



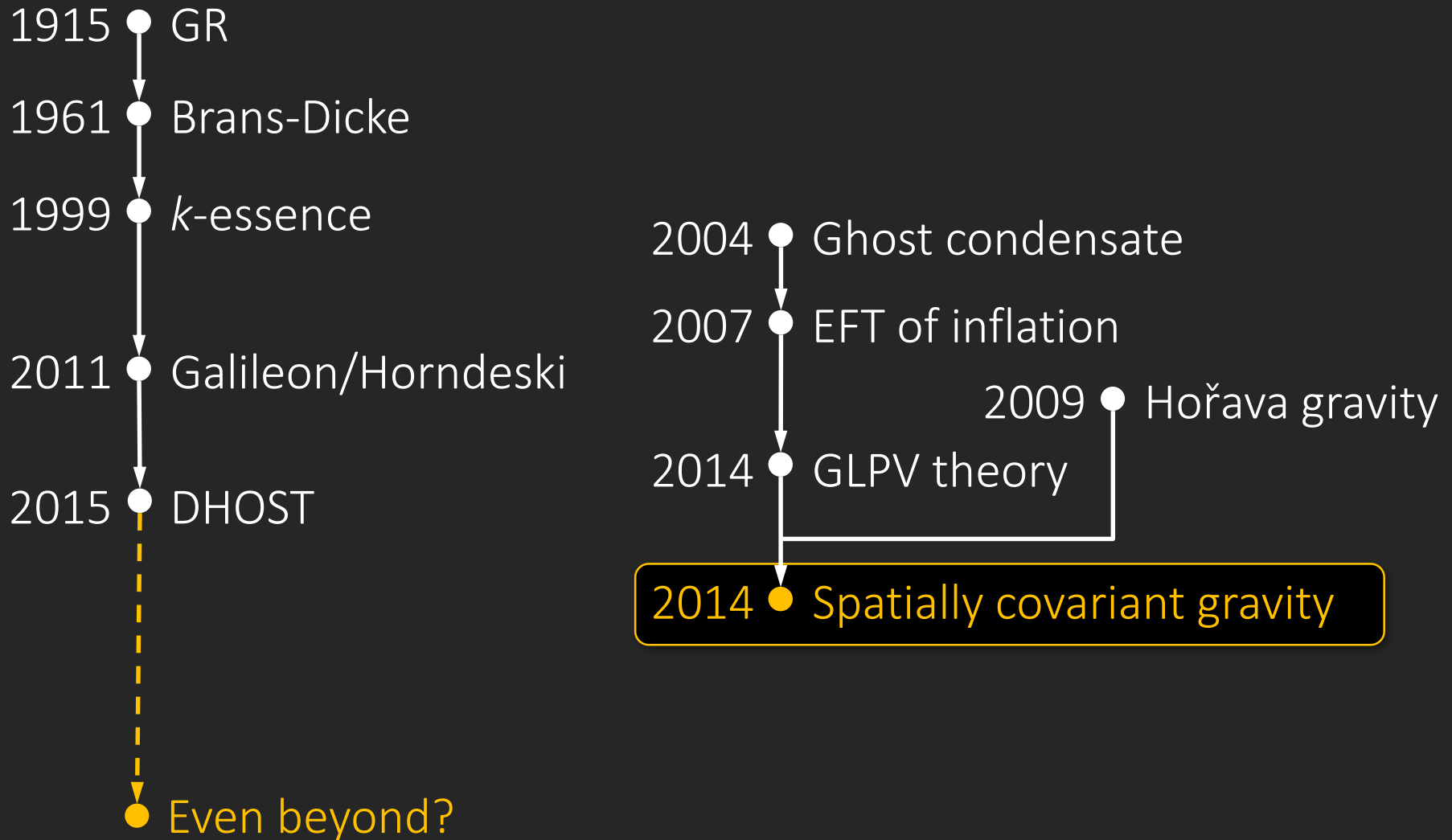
Evolution of the theories



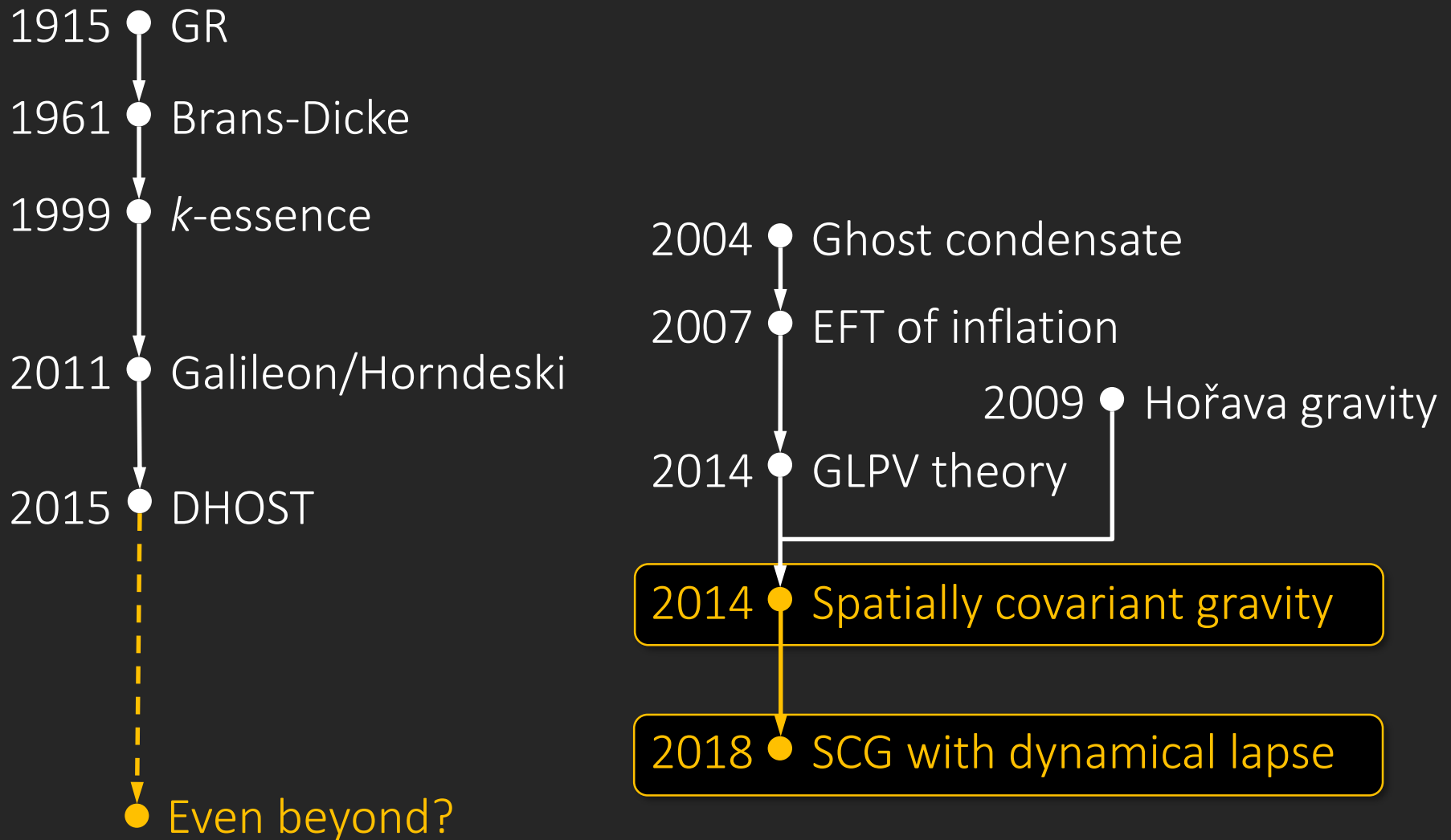
Evolution of the theories



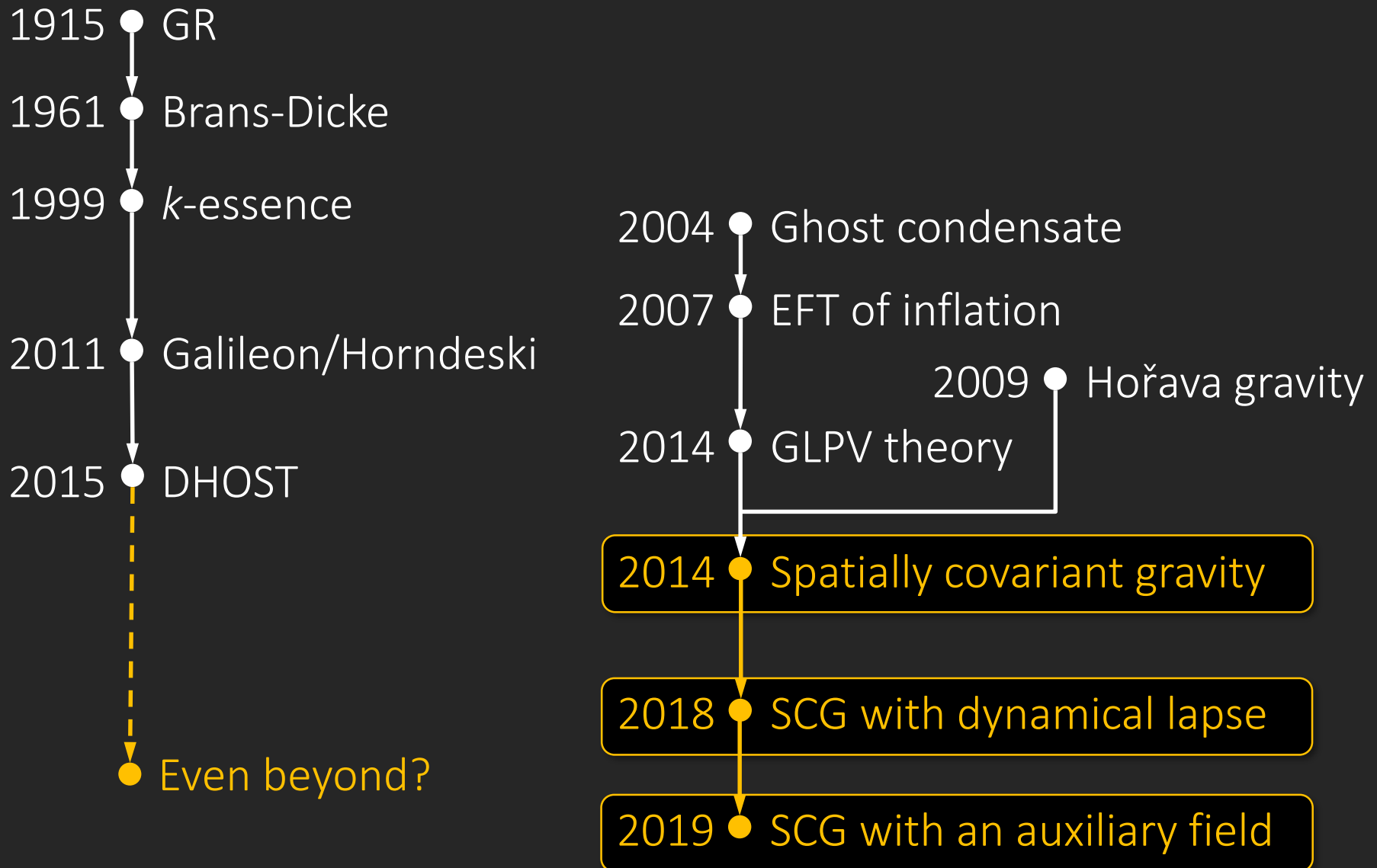
Evolution of the theories



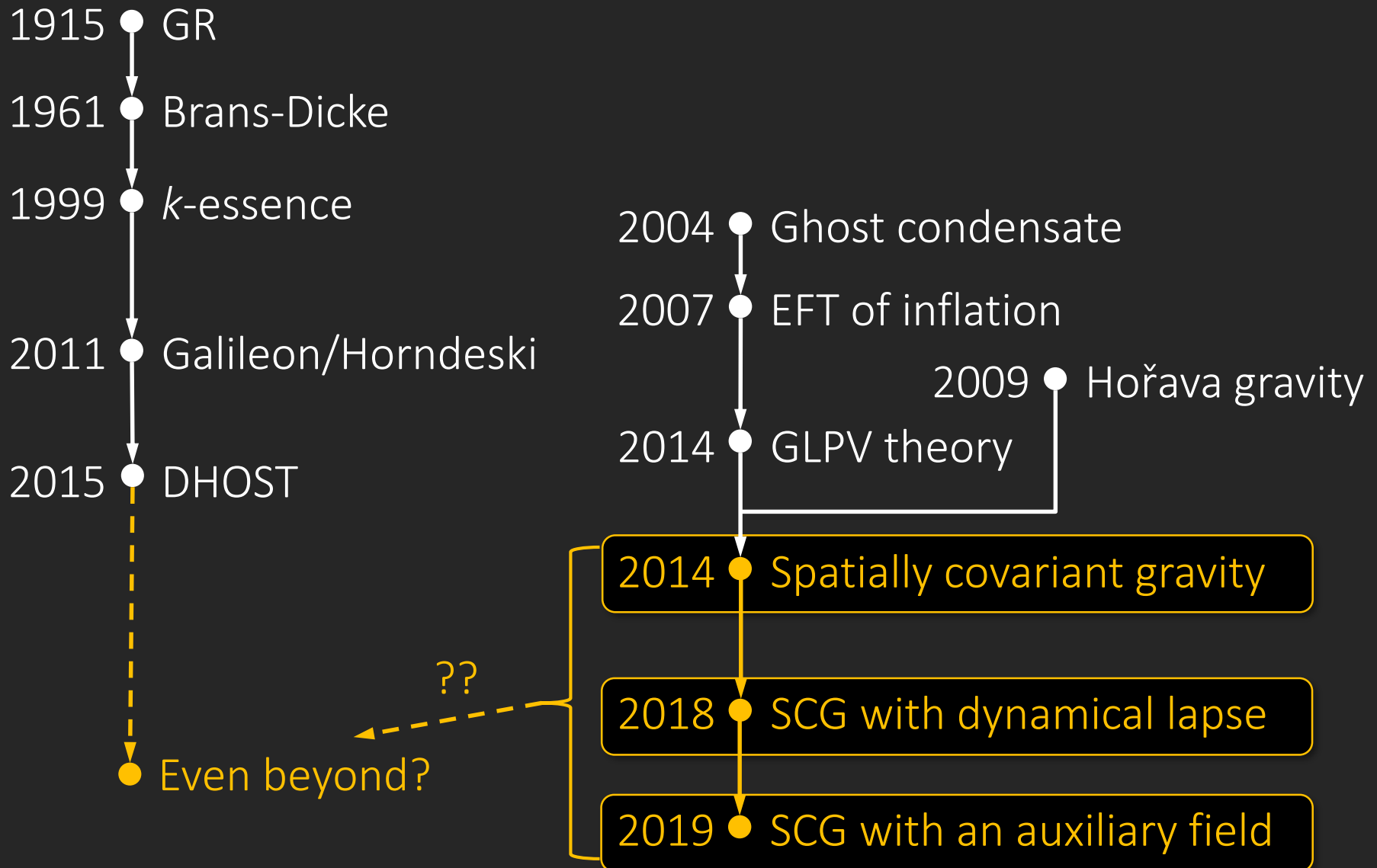
Evolution of the theories



Evolution of the theories



Evolution of the theories



Thank you for your attention!